

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tsuyoshi KANEKO et al.

Application No.: New U.S. Patent Application

Filed: September 12, 2003

Docket No.: 116899

For: SURFACE-EMITTING LIGHT EMITTING DEVICE, MANUFACTURING METHOD
FOR THE SAME, OPTICAL MODULE, AND OPTICAL TRANSMISSION APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Relevance of the non-English language references 1-2 & 4 is discussed in the present specification.
- ☒ 3. English-language Abstracts of the non-English language references 1-4 are attached hereto.
- ☒ 4. Computer-generated English translations of the following Japanese Patent Publications have been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and are attached, but have not been reviewed for accuracy. See References 1-3.

Respectfully submitted,



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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 116899		APPLICATION NO. New U.S. Patent Application	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT(S) Tsuyoshi KANEKO et al.			
				FILING DATE September 12, 2003			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	1	JP A 2000-067449 w/ abst. & transl.	03/03/2000	Japan			
	2	JP A 2001-066299 w/ abst. & transl.	03/16/2001	Japan			
	3	JP A 2002-331532 w/ abst. & transl.	11/19/2002	Japan			
	4	JP A 4-297023 w/ abst.	10/21/1992	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: September 12, 2003